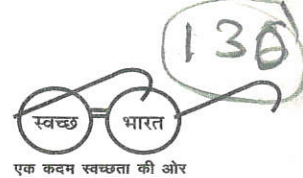




भारतीय विदेश व्यापार संस्थान
मानित विश्वविद्यालय
INDIAN INSTITUTE OF FOREIGN TRADE
(DEEMED TO BE UNIVERSITY)
(AN AUTONOMOUS INSTITUTION OF MINISTRY OF COMMERCE & INDUSTRY)



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No.: RTI/Audit/2019-2021

9th May, 2019

To,
Shri K. V. Ajith
Deputy Director (S)
Deptt. of Commerce
Ministry of Commerce & Industry
Government of India
Udyog Bhawan
New Delhi

Subject: Proactive disclosure package audited by third party from the training Institute in respect of Deptt. of Commerce - in compliance with Provisions of suo motu (proactive) disclosure under the RTI Act

Sir,

Referring to the letter No. R-11(1)/2019-RTI/366 dated 2nd May, 2019 addressed to the Registrar, IIFT, we have the honour to forward herewith the Transparency Audit Report of Department of Commerce, in pursuance to the section 4 of the RTI Act 2005, for your kind reference.

Yours faithfully,

(Desh Raj)

Sr. Administrative Officer (Estb.)

Tel: 011 39147318

Agreed
9/5/19
DDCK/A

Sanjay

Sanjay
10/5/19

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FTS-265658/AT
10/5/2019

A FRAMEWORK FOR TRANSPARENCY AUDIT

The RTI Act under section 4 provides a comprehensive framework for promoting openness in the functioning of the public authorities.

While Section 4(1) (a) provides a general guideline for record management, so that the information could be easily stored and retained, the sub-sections b, c and d of Section 4 relate to the organizational objects and functions. Sub-sections (b), (c) and (d) of Section 4 of the RTI Act and other related information can be grouped under six categories; namely, 1-organisation and function, 2- Budget and programmes, 3- Publicity and public interface, 4-E. governance, 5-Information as prescribed and 6. Information disclosed on own initiative.

1. Organisation and Function

S. No.	Item	Details of disclosure	Code Marks	Total Marks	Marks	%	Grade
1.1	Particulars of its organisation, functions and duties [Section 4(1)(b)(i)]	(i) Name and address of the Organization		1.28	1.28	99.87%	A
		(ii) Head of the organization		1.28	1.28	99.87%	A
		(iii) Vision, Mission and Key objectives		1.28	1.28	99.87%	A
		(iv) Function and duties		1.28	1.28	99.87%	A
		(v) Organization Chart		1.28	1.28	99.87%	A
		(vi) Any other details-the genesis, inception, formation of the department and the HoDs from time to time as well as the committees/ Commissions constituted from time to time have been dealt		1.28	1.28	99.87%	A
1.2	Power and duties of its officers and employees [Section 4(1) (b)(ii)]	(i) Powers and duties of officers (administrative, financial and judicial)		1.54	1.54	100.13%	A
		(ii) Power and duties of other employees		1.54	1.54	100.13%	A
		(iii) Rules/ orders under which powers and duty are derived and		1.54	1.54	100.13%	A
		(iv) Exercised		1.54	1.54	100.13%	A
		(v) Work allocation		1.54	1.54	100.13%	A
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]	(i) Process of decision making Identify key decision making points		1.54	1.54	100.13%	A
		(ii) Final decision making authority		1.54	1.54	100.13%	A
		(iii) Related provisions, acts, rules etc.		1.54	1.54	100.13%	A
		(iv) Time limit for taking a decisions, if any		1.54	1.54	100.13%	A
		(v) Channel of supervision and accountability		1.54	1.54	100.13%	A
1.4	Norms for discharge of functions [Section 4(1)(b)(iv)]	(i) Nature of functions/ services offered		1.54	1.54	100.13%	A
		(ii) Norms/ standards for functions/ service delivery		1.54	1.54	100.13%	A
		(iii) Process by which these services can be accessed		1.54	1.54	100.13%	A
		(iv) Time-limit for achieving the targets		1.54	1.54	100.13%	A
		(v) Process of redress of grievances		1.54	1.54	100.13%	A
	Rules, regulations,	(i) Title and nature of the record/ manual /instruction.		1.92	1.92	99.87%	A

1.5	instructions manual and records for discharging functions [Section 4(1)(b)(v)]	(ii) List of Rules, regulations, instructions manuals and records.	1.92	1.92	99.87%	A
		(iii) Acts/ Rules manuals etc.	1.92	1.92	99.87%	A
		(iv) Transfer policy and transfer orders	1.92	0.00	0.00%	D
			3.85	0.00	0.00%	D
1.6	Categories of documents held by the authority under its control [Section 4(1)(b) (vi)]	(i) Categories of documents				
		(ii) Custodian of documents/categories	3.85	3.85	100.13%	A
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]	(i) Name of Boards, Council, Committee etc.	0.96	0.00	0.00%	D
		(ii) Composition	0.96	0.00	0.00%	D
		(iii) Dates from which constituted	0.96	0.00	0.00%	D
		(iv) Term/ Tenure	0.96	0.00	0.00%	D
		(v) Powers and functions	0.96	0.00	0.00%	D
		(vi) Whether their meetings are open to the public?	0.96	0.00	0.00%	D
		(vii) Whether the minutes of the meetings are open to the public?	0.96	0.00	0.00%	D
		(viii) Place where the minutes if open to the public are available?	0.96	0.00	0.00%	D
1.8	Directory of officers and employees [Section 4(1) (b) (ix)]	(i) Name and designation	3.85	3.85	100.13%	A
		(ii) Telephone , fax and email ID	3.85	3.85	100.13%	A
1.9	Monthly Remuneration received by officers & employees including system of compensation [Section 4(1) (b) (x)]	(i) List of employees with Gross monthly remuneration	3.85	3.85	100.13%	A
		(ii) System of compensation as provided in its regulations	3.85	3.85	100.13%	A
1.10	Name, designation and other particulars of public information officers [Section 4(1) (b) (xvi)]	(i) Name and designation of the public information officer (PIO), Assistant Public Information (s) & Appellate Authority	3.85	3.85	100.13%	A
		(ii) Address, telephone numbers and email ID of each designated official.	3.85	3.85	100.13%	A
1.11	No. Of employees against whom Disciplinary action has been proposed/ taken (Section 4(2))	No. of employees against whom disciplinary action has been	3.85	0.00	0.00%	D
		(i) Pending for Minor penalty or major penalty proceedings				
1.12	Programmes to advance understanding of RTI (Section 26)	(ii) Finalised for Minor penalty or major penalty proceedings	3.85	0.00	0.00%	D
		(i) Educational programmes	1.92	1.92	99.87%	A
		(ii) Efforts to encourage public authority to participate in these programmes	1.92	1.92	99.87%	A
		(iii) Training of CPIO/APIO	1.92	1.92	99.87%	A

		(iv) Update & publish guidelines on RTI by the Public Authorities concerned		1.92	1.92	100.00%	A
1.13	Transfer policy and transfer orders [F No. 1/6/2011- IR dt. 15.4.2013]			7.69	0.00	0.00%	D
Total				100.00	71.17	71.17%	C

2. Budget and Programme

S. No.	Item	Details of disclosure	Code Marks	Total Marks	Marks	%	Grade
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc. [Section 4(1)(b)(xi)]	(i) Total Budget for the public authority		10.00	10.00	100%	A
		(ii) Budget for each agency and plan & programmes		10.00	10.00	100%	A
		(iii) Proposed expenditures		10.00	10.00	100%	A
		(iv) Revised budget for each agency, if any		10.00	10.00	100%	A
		(v) Report on disbursements made and place where the related reports are available		10.00	10.00	100%	A
2.2	Foreign and domestic tours (F.No. 1/8/2012- IR dt. 11.9.2012)	(i) Budget		16.67	16.67	100%	A
		(ii) Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department.		16.67	16.67	100%	A
		a) Places visited					
		b) The period of visit					
		c) The number of members in the official delegation					
		d) Expenditure on the visit					
		(iii) Information related to procurements		16.67	0.00	0%	D
		a) Notice/tender enquires, and corrigenda if any thereon,					
		b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured,					
		c) The works contracts concluded – in any such combination of the above-and					
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]	d) The rate /rates and the total amount at which such procurement or works contract is to be executed.					A
		(i) Name of the programme of activity					A
		(ii) Objective of the programme					D
		(iii) Procedure to avail benefits		6.25	0.00	0%	D
		(iv) Duration of the programme/ scheme		6.25	0.00	0%	D
		(v) Physical and financial targets of the programme		6.25	0.00	0%	D
		(vi) Nature/ scale of subsidy /amount allotted		6.25	0.00	0%	D
		(vii) Eligibility criteria for grant of subsidy		6.25	0.00	0%	D

All CPDs related to
Scheme

		(viii) Details of beneficiaries of subsidy programme (number, profile etc)		6.25	0.00	0%	D
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]	(i) Discretionary and non-discretionary grants/ allocations to State Govt./ NGOs/other institutions		25.00	25.00	100%	A
		(ii) Annual accounts of all legal entities who are provided grants by public authorities		25.00	25.00	100%	A
2.5	Particulars of recipients of concessions, permits of authorizations granted by the public authority [Section 4(1) (b) (xiii)]	(i) Concessions, permits or authorizations granted by public authority		25.00	25.00	100%	A
		(ii) For each concessions, permit or authorization granted		25.00	25.00	100%	A
		a) Eligibility criteria					
		b) Procedure for getting the concession/ grant and/ or permits of authorizations					
		c) Name and address of the recipients given concessions/ permits or authorisations					
		d) Date of award of concessions /permits of authorizations					
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.		50.00	0.00	0%	D
Total				288	183.34	63.77%	D

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Dileep
Acharya

PAD

3 Publicity Band Public interface

S. No.	Item	Details of disclosure	Code Marks	Total Marks	Marks	%	Grade
3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation thereof [Section 4(1)(b)(vii)] [F No 1/6/2011-IR dt. 15.04.2013]	Arrangement for consultations with or representation by the members of the public					
		(i) Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens		12.50	12.50	100%	A
		(ii) Arrangements for consultation with or representation by		12.50	12.50	100%	A
		a) Members of the public in policy formulation/ policy implementation					
		b) Day & time allotted for visitors					
		c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants					
		Public- private partnerships (PPP)					
		(i) Details of Special Purpose Vehicle (SPV), if any		2.78	0.00	0%	D
		(ii) Detailed project reports (DPRs)		2.78	0.00	0%	D
		(iii) Concession agreements.		2.78	0.00	0%	D
		(iv) Operation and maintenance manuals		2.78	0.00	0%	D
		(v) Other documents generated as part of the implementation of the PPP		2.78	0.00	0%	D
		(vi) Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government		2.78	0.00	0%	D
		(vii) Information relating to outputs and outcomes		2.78	0.00	0%	D
		(viii) The process of the selection of the private sector party (concessionaire etc.)		2.78	0.00	0%	D
		(ix) All payment made under the PPP project		2.78	0.00	0%	D
3.2	Are the details of policies / decisions, which affect public, informed to them [Section 4(1) (c)]	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive;					
		(i) Policy decisions/ legislations taken in the previous one year		16.67	16.67	100%	A
		(ii) Outline the Public consultation process		16.67	16.67	100%	A
		(iii) Outline the arrangement for consultation before formulation of policy		16.67	16.67	100%	A
	Dissemination of	Use of the most effective means of communication					

3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]	(i) Internet (website)		50.00	50.00	100%	A
3.4	Form of accessibility of information manual/ handbook [Section 4(1)(b)]	Information manual/handbook available in					
		(i) Electronic format		25.00	25.00	100%	A
		(ii) Printed format		25.00	25.00	100%	A
3.5	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]	List of materials available					
		(i) Free of cost		25.00	25.00	100%	A
		(ii) At a reasonable cost of the medium		25.00	25.00	100%	A
Total				250	225.01	90.00%	A

4. E.Governance

S. No.	Item	Details of disclosure	Code Marks	Total Marks	Marks	%	Grade
4.1	Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]	(i) English		14.29	14.29	100.00%	A
		(ii) Vernacular/ Local Language		14.29	14.29	100.00%	A
4.2	When was the information Manual/Handbook last updated? [F No. 1/6/2011-IR dt 15.4.2013]	Last date of Annual updation		28.57	28.57	100.00%	A
4.3	Information available in electronic form [Section 4(1)(b)(xiv)]	(i) Details of information available in electronic form		9.52	9.52	100.00%	A
		(ii) Name/ title of the document/record/ other information		9.52	9.52	100.00%	A
		(iii) Location where available		9.52	9.52	100.00%	A
4.4	Particulars of facilities available to citizen for obtaining information [Section 4(1)(b)(xv)]	(i) Name & location of the faculty		7.14	0.00	0.00%	D
		(ii) Details of information made available		7.14	0.00	0.00%	D
		(iii) Working hours of the facility		7.14	0.00	0.00%	D
		(iv) Contact person & contact details (Phone, fax email)		7.14	0.00	0.00%	D
		(v) Grievance redressal mechanism		3.57	3.57	100.00%	A
4.5	Such other information as may be prescribed under Section 4(i) (b)(xvii)	(ii) Details of applications received under RTI and information provided		3.57	3.57	100.00%	A
		(iii) List of completed schemes/ projects/ Programmes		3.57	0.00	0.00%	D
		(iv) List of schemes/ projects/ programme underway		3.57	0.00	0.00%	D
		(v) Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract		3.57	0.00	0.00%	D
		(vi) Annual Report		3.57	0.00	0.00%	D
		(vii) Frequently Asked Question (FAQs)		3.57	0.00	0.00%	D
		(viii) Any other information such as					
		a) Citizen's Charter					
		b) Result Framework Document (RFD)		3.57	0.00	0.00%	D
		c) Six monthly reports on the					
		d) Performance against the benchmarks set in the Citizen's Charter					
4.6	Receipt & Disposal of RTI applications & appeals	(i) Details of applications received and disposed		14.29	14.29	100.00%	A

Alleged
Alleged
Dm

	[P.NO 1/0/2011-1K dt. 15.04.2013]	(ii) Details of appeals received and orders issued		14.29	14.29	100.00%	A
4.7	Replies to questions asked in the parliament [Section 4(1)(d)(2)]	Details of questions asked and replies given		28.57	28.57	100.00%	A
Total				200	150.00	75.00%	C

5. Information as may be prescribed

S. No.	Item	Details of disclosure	Code Marks	Total Marks	Marks	%	Grade
5.1	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]	(i) Name & details of		20.00	20.00	100%	A
		(a) Current CPIOs & FAAs					
		(b) Earlier CPIO & FAAs from 1.1.2015					
		(ii) Details of third party audit of voluntary disclosure		20.00	20.00	100%	A
		(a) Dates of audit carried out					
		(b) Report of the audit carried out					
		(iii) Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD		20.00	20.00	100%	A
		(a) Date of appointment					
		(b) Name & Designation of the officers					
		(iv) Consultancy committee of key stake holders for advice on suo-motu disclosure		20.00	20.00	100%	A
		(a) Dates from which constituted					
		(b) Name & Designation of the officers					
		(v) Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI		20.00	20.00	100%	A
		(a) Dates from which constituted					
		(b) Name & Designation of the Officers					
Total				100	100.00	100.00%	A

6. Information Disclosed on own Initiative

S. No.	Item	Details of disclosure	Code Marks	Total Marks	Marks	%	Grade
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information			25.00	25.00	100%	A
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Public Grievance and Pensions, Govt. Of India)	(i) Whether STQC certification obtained and its validity.		12.50	0.00	0%	D
		(ii) Does the website show the certificate on the Website?		12.50	0.00	0%	D
Total				50	25.00	50.00%	D
Grand Total				987.50	754.52	76.41%	C

S. No.	Parameter	Weight %	Per question Marks	No. of Questions	Total No. of Marks	Weightage Marks as per Audit
1	Organisation and Functions	10%	7.69	13	100	7.117
2	Budget and Programme	30%	50	6	300	19.131
3	Publicity and Public Interface	25%	50	5	250	22.5
4	E-Governance	20%	28.57	7	200	15
5	Information as may be Prescribed	10%	100	1	100	10
6	Information disclosed on own initiative	5%	25	2	50	2.5
	Total	100%			1000	76.248

JS
7/5/19
(Jitender Saxena)

on leave
(P. G. Deepa)

Karun
7/5/19
(Karun Duggal)

GR
7/5/19
(Desh Raj)

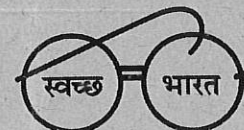
To,
Shri K. V. Ajith
Deputy Director (S)
Deptt. of Commerce
Ministry of Commerce & Industry
Government of India
Udyog Bhawan
New Delhi

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INDIAN INSTITUTE OF FOREIGN TRADE

आई० आई० एफ० टी० भवन, कुतुब इन्स्टीट्यूशनल एरिया, नई दिल्ली-110016
IIFT Bhawan, Qutab Institutional Area, New Delhi-110016



एक कदम स्वच्छता की ओर